

80/578784  
JAP20 Rec'd PCT/PTO 09 MAY 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78473

Kazuhiro OMORI, et al.

Appln. No.: Based on PCT/JP2004/016918

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: May 9, 2006

Examiner: Unknown

For: NIOBIUM POWDER FOR CAPACITOR, NIOBIUM SINTERED BODY AND CAPACITOR

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: Based on PCT/JP2004/016918

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (revised International Search Report and corrected Written Opinion for PCT/JP2004/016918 dated February 22, 2005 and February 4, 2004, respectively), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Additionally, JP 52-109409 corresponds to U.S. Patent No. 4,154,609 and U.S. Patent No. 4, 229,217.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 9, 2006

Substitute for Form 1449 A & B/PTO				Complete if Known	
				Application Number	Based on PCT/JP2004/006988 107578784
				Confirmation Number	Unknown
				Filing Date	May 9, 2006
				First Named Inventor	Kazuhiro OMORI
				Art Unit	Unknown 1793
				Examiner Name	Unknown N. Mai
Sheet	1	of	1	Attorney Docket Number	Q78473

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
/n.m./		US 4,154,609		05-15-1979	R. HÄHN
		US 4,084,965		04-18-1978	S. S. FRY
		US 1,728,941		09-24-1929	J. W. MARDEN, et al.
		US 4,687,632		08-18-1987	F. W. HURD, deceased et al.
		US 6,136,062	A	10-24-2000	J. LÖFFELHOLZ, et al.
/n.m./		US 4,229,217		10-21-1980	R. HÄHN
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup>	Number <sup>4</sup>		
/n.m./		WO	2002/064858	A1	H.C. STARCK, INC.
		JP	4-362102	A	Showa Cabot Super Metal Co., Ltd
		JP	52-109409		H.C. STARCK, INC.
		JP	10-242004	A	Showa Denko K.K.
		JP	2002-25864	A	Showa Denko K.K.
		JP	2003-123208	A	Alps Electric Co. Ltd.
		WO	00/49633	A1	Showa Denko K.K.
		WO	01/06525	A1	Showa Denko K.K.
		WO	00/15555	A1	Cabot Corporation
		WO	00/15556	A1	Cabot Corporation
		WO	02/15208	A1	Showa Denko K.K.
		WO	02/93596	A1	Showa Denko K.K.
		WO	01/35428	A1	H.C. STARCK GMBH & CO. KG
/n.m./		WO	01/26123	A1	Showa Denko K.K.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			Translation <sup>6</sup>

Examiner Signature	/Ngocian Mai/	Date Considered	11/25/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.